大新銀行 DAH SING BANK

股票存單
DEPOSIT OF SECURITIES

客戶姓名
Customer Name: _____

日期
Date: _____

證券戶口號碼
Securities Account No.: _____

聯絡號碼
Contact No.: _____

*請於以下適當之方格內加上「✓」號。 Please put "✓" on the appropriate box below.

☐	**存入現貨 PHYSICAL SCRIP DEPOSIT**
	香港投資者識別碼制度及場外證券交易匯報制度
	Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transactions Reporting Regime (OTCR)
	特此確認，本人（等）已向大新銀行有限公司（「**銀行**」）遞交本人（等）簽署妥當的「有關香港投資者識別碼制度及場外證券交易匯報制度的個人資料收集聲明」。 I / We hereby confirm that I / we have already submitted the "Personal Information Collection Statement in relation to the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transactions Reporting Regime (OTCR)" duly signed by me / us to Dah Sing Bank, Limited (the "**Bank**"). *

☐ 是 Yes #	☐ 否 No ##
	## 請注意，如您（們）以上的回覆是「否」，您（們）必須於遞交此指示時，同時向銀行遞交您（們）簽署妥當的「有關香港投資者識別碼制度及場外證券交易匯報制度的個人資料收集聲明」，以給予所需的明示同意，否則您（們）相關的指示不會被接納。
	Please note that if your reply above is "No", you must submit your duly signed "Personal Information Collection Statement in relation to the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transactions Reporting Regime (OTCR)" to the Bank at the time of your submission of this instruction for the purpose of giving the required express consent(s); otherwise, your relevant instruction will not be accepted.

# 銀行專用 For Bank Use Only	## 銀行專用 For Bank Use Only
☐ HKIDR & OTCR BCAN Flag checked in CSS for all account holder(s)	☐ All account holder(s) has / have already submitted his / her / their duly signed Personal Information Collection Statement in relation to the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transactions Reporting Regime (OTCR)" at the time of submitting this form.

☐ **交收指示 SETTLEMENT INSTRUCTION (SI)** （僅適用於同名的交收指示 Applicable to SI under the same name only）

請透過債務工具中央結算系統（CMU 系統）或中央結算及交收系統（中央結算系統）之交收指示從以下指定之參與者接收以下股票：Please receive the following securities from the participant specified below through SI of the Central Money markets Unit (CMU) or Central Clearing and Settlement System (CCASS) *:

☐ 中央結算系統參與者名稱：
CCASS Participant Name: _____

中央結算系統參與者號碼：
CCASS Participant ID: _____

交收日期：
Settlement Date: _____

☐ CMU 系統參與者名稱：
CMU Participant Name: _____

CMU 系統參與者號碼：
CMU Participant ID: _____

交收日期：
Settlement Date: _____

中央結算系統參與者所需核實資料：Confirmation information requested by CCASS Participant：

_____

請接收下述股票 Please receive the undermentioned securities by:

☐ 不需交款 Free of Payment   ☐ 需要交款 Against Payment

請根據本人（等）的指示接收下述股票並存入本人（等）於銀行開立（由香港中央結算有限公司保管）並於本表格首頁頂部所述的證券戶口，並請由本人（等）於銀行開立的證券結算戶口內扣取有關之手續費。Please receive the undermentioned securities and deposit into my / our Securities Account with the Bank as stated at the top of the first page of this form, which are held in the custody of Hong Kong Securities Clearing Company Limited (**"HKSCC Ltd"**), according to my / our instruction. For any charges incurred, please debit my / our securities settlement account with the Bank.

股票編號 Stock Code	股票名稱 Stock Name	股數 / 單位 No. of Shares / Units	票據號碼（如適用） Certificate No. (If applicable)	
			由 From	至 To

本人（等）明白並同意 I / We understand and agree that:

1. 存入開立於香港中央結算有限公司之戶口或其他銀行認可之保管代理人之戶口之股票需受香港中央結算有限公司之規則及條例及銀行適用之條款及細則所約束，所有股票之存入將以最終核實及收妥為準。Deposit of securities to the account with HKSCC Ltd. or any other custodial agent(s) approved by the Bank are subject to the rules and regulations of HKSCC Ltd. and applicable terms and conditions of the Bank. All deposits of securities are subject to final verification and settlement.

2. 經由中央結算系統存入之現貨股票以香港中央結算有限公司收妥為準（**轉名需時約 10 個工作日，辦妥後方可沽出**）。Securities deposited in physical scrip through CCASS are subject to the Bank's actual settlement with HKSCC Ltd. **(securities could only be sold after completing change of beneficiary owner which will take will take around 10 business days).**

3. 倘銀行方面未有疏忽或故意過失，銀行不會就存入股票所產生之損失或損害（不論為直接或間接的）負上責任。為保障本身利益，本人（等）可為經銀行存入或保管之股票購買保險。In the absence of negligence or willful default on the part of the Bank, the Bank does not accept liability for any loss or damages, whether direct or indirect, sustained as a result of deposit of securities. I / We am / are advised, in my / our own interest, to insure against the securities deposit and kept by the Bank.

4. 如有關手續費（不論任何原因）未能於本人（等）於銀行開立之證券結算戶口內扣除，股票存入服務將不受辦理。If the charges incurred cannot be debited from my / our securities settlement account with the Bank for whatever reason, the request for deposit of securities will not be executed.

5. 銀行不會辦理股票存入服務（不論部分或全部），除非（如為存入現貨）本人（等）向銀行存入有關股票紙或（如為交收指示）有關股票被存入銀行或其他銀行認可之保管代理人於香港中央結算有限公司開立之戶口。The Bank will not execute (whether wholly or partially) any request for deposit of securities unless (for physical scrip deposit) I / we deposit the share certificate(s) of the relevant securities with the Bank or (for SI) the relevant securities have been deposited into the account of the Bank or the Bank's approved custodial agent maintained with HKSCC Ltd.

6. 如此表格之中英文版本文義有任何歧異，概以英文版本為準。In the event of any conflict or inconsistency between the English and Chinese versions of this form, the English version shall prevail.

客戶簽署 Customer Signature(s)

（適用於存入現貨 Applicable for Physical Scrip Deposit）
本行確認收到上述現貨股票的收據
Bank's Acknowledgement Receipt of the above physical scrips

_____
銀行印章和授權簽名 Bank Chop & Authorized Signature
日期 Date:

For Bank Use Only			
Prepared By (Staff Name & Staff No)		Staff Initial	WMOD Made by:
Branch Code	Form Copy provided to customer (on the date of this form) ☐ Yes		
SV & checked by: (Signature No.) ☐ Ensure the Receipt section has been signed with bank chop for physical scrip deposit (if applicable)			WMOD Checked by: