

**WITHDRAWAL OF SECURITIES**

客戶姓名 Customer Name: _____	聯絡號碼 Contact No.: _____	日期 Date: _____
證券戶口號碼 Securities Account No.: _____	孖展證券戶口 Margin Securities Account	是 <input type="checkbox"/> 否 <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>

\*請於以下適當之方格內加上「✓」號。 Please put "✓" on the appropriate box below.

<input type="checkbox"/> <b>提取現貨 PHYSICAL SCRIP WITHDRAWAL (請選擇以下提取地點 Please select a location for collection *)</b> 請從上述證券戶口提取下述股票，並將相關實貨股票交付至以下地點(請只選一項)供本人(等)領取。 Please withdraw the undermentioned securities from my / our abovementioned Securities Account and deliver the relevant physical shares to the following location for my / our collection (please select one location only).  <input type="checkbox"/> _____ 分行 Branch <input type="checkbox"/> 財富管理營運部 Wealth Management Operations Department (WMOD)									
<b>香港投資者識別碼制度及場外證券交易匯報制度</b> <b>Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transactions Reporting Regime (OTCR)</b> 特此確認，本人(等)已向大新銀行有限公司(「銀行」)遞交本人(等)簽署妥當的[有關香港投資者識別碼制度及場外證券交易匯報制度的個人資料收集聲明]。 I / We hereby confirm that I / we have already submitted the "Personal Information Collection Statement in relation to the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transactions Reporting Regime (OTCR)" duly signed by me / us to Dah Sing Bank, Limited (the "Bank"). *									
<input type="checkbox"/> 是 Yes #	<input type="checkbox"/> 否 No * *請於以下適當之方格內加上「✓」號： Please put "✓" on the appropriate box below:  <input type="checkbox"/> 於遞交此表格時，本人(等)亦會即場向銀行分行遞交本人(等)簽署妥當的相關個人資料收集聲明。 At the time of submitting this form, I / we shall also submit the relevant Personal Information Collection Statement duly signed by me / us to the branch of the Bank at the spot. ## 或 or <input type="checkbox"/> 本人(等)不同意亦沒有遞交相關個人資料收集聲明。 I / We do not agree to and have not submitted the relevant Personal Information Collection Statement.								
<b>#銀行專用 For Bank Use Only</b>	<b>##銀行專用 For Bank Use Only</b>								
<input type="checkbox"/> HKIDR & OTCR BCAN Flag checked in CSS for all account holder(s)	<input type="checkbox"/> All account holder(s) has / have submitted his / her / their duly signed Personal Information Collection Statement at the time of submitting this form.								
<input type="checkbox"/> <b>交收指示 (僅適用於同名的交收指示) SETTLEMENT INSTRUCTION (SI) (Applicable to SI under the same name only)</b> 請提取下述股票並透過債務工具中央結算系統 (CMU 系統) 或中央結算及交收系統 (中央結算系統) 之交收指示將下述股票存入以下指定之參與者 Please deliver the following securities to the participant specified below through SI of the Central Moneymarkets Unit (CMU) or Central Clearing and Settlement System (CCASS) *  <table border="0"> <tr> <td><input type="checkbox"/></td> <td>中央結算系統參與者名稱 CCASS Participant Name _____</td> <td>中央結算系統參與者號碼 CCASS Participant ID _____</td> <td>交收日期 Settlement Date _____</td> </tr> <tr> <td><input type="checkbox"/></td> <td>CMU 系統參與者名稱 CMU Participant Name _____</td> <td>CMU 系統參與者號碼 CMU Participant ID _____</td> <td>交收日期 Settlement Date _____</td> </tr> </table> 中央結算系統參與者所需核實資料 Requested confirmation information with CCASS Participant _____  請提取下述股票 Please deliver the undermentioned <input type="checkbox"/> 不需交款 Free of Payment <input type="checkbox"/> 需要交款 Against Payment securities by *		<input type="checkbox"/>	中央結算系統參與者名稱 CCASS Participant Name _____	中央結算系統參與者號碼 CCASS Participant ID _____	交收日期 Settlement Date _____	<input type="checkbox"/>	CMU 系統參與者名稱 CMU Participant Name _____	CMU 系統參與者號碼 CMU Participant ID _____	交收日期 Settlement Date _____
<input type="checkbox"/>	中央結算系統參與者名稱 CCASS Participant Name _____	中央結算系統參與者號碼 CCASS Participant ID _____	交收日期 Settlement Date _____						
<input type="checkbox"/>	CMU 系統參與者名稱 CMU Participant Name _____	CMU 系統參與者號碼 CMU Participant ID _____	交收日期 Settlement Date _____						
<input type="checkbox"/> <b>內部轉倉 (只限客戶在銀行之同名戶口) INTERNAL TRANSFER (Limited to the same-name accounts with the Bank)</b> 請從上述證券戶口將下述股票轉入至本人(等)在本欄列出之大新證券戶口 Please transfer the undermentioned securities from my / our abovementioned Securities Account to my Dah Sing Securities Account as set out in this column.  轉入之大新證券戶口號碼 No. of the Dah Sing Securities Account for transfer-in: _____									

**WITHDRAWAL OF SECURITIES**

請根據本人(等)的指示從本人(等)於銀行開立並於本表格首頁頂部所述的證券戶口內提取下述股票，並請由本人(等)於銀行開立的證券結算戶口內扣取有關之手續費。Please withdraw the undermentioned securities from my / our Securities Account as stated at the top of the first page of this form according to my / our instruction. For any charges incurred, please debit my / our securities settlement account with the Bank.

股票編號 Stock Code	股票名稱 Stock Name	股數 / 單位 No. of Shares / Units

本人(等)明白並同意 I / We understand and agree that:

- 於香港中央結算有限公司之戶口或其他銀行認可之保管代理人之戶口提取股票需受香港中央結算有限公司之規則及條例及銀行適用之條款及細則所約束。Withdrawal of securities from the account with Hong Kong Securities Clearing Company Limited or any other custodial agent(s) approved by the Bank are subject to the rules and regulations of Hong Kong Securities Clearing Company Limited and applicable terms and conditions of the Bank.
- 倘銀行方面未有疏忽或故意過失，銀行不會就提取股票所產生之損失或損害(不論為直接或間接的)負上責任。為保障本身利益，本人(等)可為經銀行提取或保管之股票購買保險。In the absence of negligence or willful default on the part of the Bank, the Bank does not accept liability for any loss or damages, whether direct or indirect, sustained as a result of the withdrawal of securities. I / We am / are advised, in my / our own interest, to insure against the securities collected or kept by the Bank.
- 如有關手續費(不論任何原因)未能於本人(等)於銀行開立之證券結算戶口內扣除，提取股票服務將不受辦理。If the charges incurred cannot be debited from my / our securities settlement account with the Bank for whatever reason, the request for withdrawal of securities will not be executed.
- 如本人(等)就提取現貨選擇[分行]作為提取地點，但於本提單之日期後超過三個月內並沒有於指定分行提取股票，銀行會將有關股票存放於財富管理營運部而不會作另行通知，而本人(等)將須於財富管理營運部提取有關股票；另外，因本人(等)選擇到分行提取現貨，本人(等)明白及同意本人(等)需重新填寫、簽署及向相關分行遞交此股票提單表格。  
If I / we have selected "Branch" as the collection location in respect of physical scrip withdrawal but the withdrawn securities delivered to the designated branch for collection have not been collected by me / us for more than 3 months after the date hereof, such securities will be returned to WMOD without further notice and I / we shall be required to collect the same from WMOD; moreover, I / we understand and agree that due to my selection to collect the physical scrip at branch, I/we will need to fill in, sign and submit to the relevant branch this Withdrawal of Securities form again.
- 如此表格之中英文版本文義有任何歧異，概以英文版本為準。In the event of any conflict or inconsistency between the English and Chinese versions of this form, the English version shall prevail.

For Bank Use Only		
Prepared By (Staff Name & Staff Number)		Staff Initial
		WMOD Made by:
Branch Code	Form Copy provided to customer (on the date of this form)	
	<input type="checkbox"/> Yes	
SV & checked by:		WMOD Checked by:
(Signature No. )		
<input type="checkbox"/> Checked if the above stocks are marginable (with margin ratio)		
<input type="checkbox"/> Checked Account Margin Ratio for Margin Securities Account		

\_\_\_\_\_  
 客戶簽署 Customer Signature(s)